Module Descriptor

Title	Global Finance Compliance		
Session	2026/27	**Status**	Approved
Code	ACCT09016	**SCQF Level**	9
Credit Points	20	**ECTS (European Credit Transfer Scheme)**	10
School	**Business and Creative Industries**		
Module Co-ordinator	K Bangassa		

Summary of Module

The module introduces students to to the international regulatory environment for financial services. Students will be able to understand models of regulation and the international features of regulation. Furthermore, students will be to critically examine and and understand that the benefits of regulations are achieved by the dynamics of laws and regulations that are composed of international best practice, the role of a compliance function adopted by a business, and managing regulator known as: y and integrative relationships.

Studnets will also learn the compliance function from three supportive learning objectives known as: interational best practice, the role of compliance function within a firm, managing regulatory relationships.

Another important area og learning and teaching elements known as: managing the risk of financial crime. The three objectives that equip the students with the knowledge are: a) money laundering, terrorist financing, and the standards required of firms; b) practical implications, and policies, procedures and controls required of firms.

The fourth elements set consists of Ethics, Integrity and Fairness. The learning objectives of the elements are: a) Ethics, b) market integrity, c) Fair conduct, d) Client Vulnerability, and e) Environmental, Social, and Governance (ESG).

The final and the fifth elements set consists of: a) Corporate governance, b) Key risk typestheir application and impact on compliance function.

Overall, emphasis is given on how these elements and objectives of learning are applied in practice considering the professional responsibilities and the career covered in this module.

Module Delivery Method	On-Campus[1] ☒	Hybrid[2] ☒	Online[3] ☐	Work -Based Learning[4] ☐
Campuses for Module Delivery	☐ Ayr ☐ Dumfries	☐ Lanarkshire ☐ London ☒ Paisley	☐ Online / Distance Learning ☐ Other (specify)	

Terms for Module Delivery	Term 1	☒	Term 2	☐	Term 3	☐
Long-thin Delivery over more than one Term	Term 1 – Term 2	☐	Term 2 – Term 3	☐	Term 3 – Term 1	☐

Learning Outcomes	
L1	Demonstrate an understanding of international regulatory environment, models of regulationat an international scale.
L2	Develop compliance function and requirements, together with the of the compliance function in relation to an international practice.
L3	Developing knowledge and understanding on managing the risk of financial crime in general and money laundering, terrorist financing and practical implications and the role of the firm.
L4	Understanding ethics, integrity and fairnessas well as principles and policies of governance and risk management.
L5	

Employability Skills and Personal Development Planning (PDP) Skills	
SCQF Headings	**During completion of this module, there will be an opportunity to achieve core skills in:**
Knowledge and Understanding (K and U)	**SCQF 9** Demonstrate a critical understanding of a range of principles, concepts and terminology relating to compliance, financial crime and corporate governance.
Practice: Applied Knowledge and Understanding	**Please select SCQF Level** SCQF Level 9

[1] Where contact hours are synchronous/ live and take place fully on campus. Campus-based learning is focused on providing an interactive learning experience supported by a range of digitally-enabled asynchronous learning opportunities including learning materials, resources, and opportunities provided via the virtual learning environment. On-campus contact hours will be clearly articulated to students.

[2] The module includes a combination of synchronous/ live on-campus and online learning events. These will be supported by a range of digitally-enabled asynchronous learning opportunities including learning materials, resources, and opportunities provided via the virtual learning environment. On-campus and online contact hours will be clearly articulated to students.

[3] Where all learning is solely delivered by web-based or internet-based technologies and the participants can engage in all learning activities through these means. All required contact hours will be clearly articulated to students.

[4] Learning activities where the main location for the learning experience is in the workplace. All required contact hours, whether online or on campus, will be clearly articulated to students

	Application of knowledge, skills and understanding of the financial services sector and products using a range of professional skills and techniques associated with financial services, including a degree of unpredicatability.
Generic Cognitive skills	**SCQF 9** Critically identify, define, and conceptualise complex problems and make judgements where information comes from a range of sources
Communication, ICT and Numeracy Skills	**SCQF 9** Use a wide range of communication and IT skills to convey complex information about financial products to a range of audiences for a variety of purposes.
Autonomy, Accountability and Working with Others	**SCQF 9** Exercise managerial responsibility for the work of others. Work with others to manage ethical and professional issues in the financial services sector.

Prerequisites	**Module Code**	**Module Title**
	Other N?A	
Co-requisites	**Module Code** N?	**Module Title** N?A

Learning and Teaching	
In line with current learning and teaching principles, a 20-credit module includes 200 learning hours, normally including a minimum of 36 contact hours and maximum of 48 contact hours.	
Learning Activities During completion of this module, the learning activities undertaken to achieve the module learning outcomes are stated below:	**Student Learning Hours** (Note: Learning hours include both contact hours and hours spent on other learning activities)
Lecture / Core Content Delivery	12 hours
Tutorial / Synchronous Support Activity	24 hours
Independent Study	164 hours
Independent Study	
Please select	
Please select	
TOTAL	200 Hours Total

Indicative Resources
The following materials form essential underpinning for the module content and ultimately for the learning outcomes: Global Financial Compliance. by Chris Selden, 1 August 2016

Global Financial Compliance, CISI, Edition 10, July 2022- Core Text
(N.B. Although reading lists should include current publications, students are advised (particularly for material marked with an asterisk*) to wait until the start of session for confirmation of the most up-to-date material)

Attendance and Engagement Requirements
In line with the Student Attendance and Engagement Procedure, Students are academically engaged if they are regularly attending and participating in timetabled on-campus and online teaching sessions, asynchronous online learning activities, course-related learning resources, and complete assessments and submit these on time. **For the purposes of this module, academic engagement equates to the following:**

Equality and Diversity
The University's Equality, Diversity and Human Rights Procedure can be accessed at the following link: UWS Equality, Diversity and Human Rights Code.
(N.B. Every effort will be made by the University to accommodate any equality and diversity issues brought to the attention of the School)

Supplemental Information

Divisional Programme Board	**Accounting Finance Law**
Overall Assessment Results	☐ **Pass / Fail** ☒ **Graded**
Module Eligible for Compensation	☐ **Yes** ☐ **No** **If this module is eligible for compensation, there may be cases where compensation is not permitted due to programme accreditation requirements. Please check the associated programme specification for details.**
School Assessment Board	AFL
Moderator	N Kolade
External Examiner	Kasun Dissanayake
Accreditation Details	N/A
Module Appears in CPD catalogue	☐ **Yes** ☐ **No**
Changes / Version Number	1.0

Assessment (also refer to Assessment Outcomes Grids below)
Assessment 1
Class Test 30 MCQ in 1 hour **50%**
Assessment 2
Essay with theoretical and professional components with individual student choice of business in active practice, example of a complete report. **50%**
Assessment 3

(N.B. (i) Assessment Outcomes Grids for the module (one for each component) can be found below which clearly demonstrate how the learning outcomes of the module will be assessed. (ii) An indicative schedule listing approximate times within the academic calendar when assessment is likely to feature will be provided within the Student Module Handbook.)

Component 1							
Assessment Type	**LO1**	**LO2**	**LO3**	**LO4**	**LO5**	**Weighting of Assessment Element (%)**	**Timetabled Contact Hours**
Class Test	☒	☒	☐	☐	☐	50	18

Component 2							
Assessment Type	**LO1**	**LO2**	**LO3**	**LO4**	**LO5**	**Weighting of Assessment Element (%)**	**Timetabled Contact Hours**
Essay	☐	☐	☒	☒	☒	50	18

Component 3							
Assessment Type	**LO1**	**LO2**	**LO3**	**LO4**	**LO5**	**Weighting of Assessment Element (%)**	**Timetabled Contact Hours**
N/A	☐	☐	☐	☐	☐	N/A	N/A
Combined total for all components						100%	36 hours

Change Control

What	**When**	**Who**